

EW/Sec/2016/306

January 19, 2017

**National Stock Exchange of India Limited**  
Exchange Plaza,  
Bandra Kurla Complex,  
Bandra (E),  
Mumbai - 400 051.

Dear Sir,

Ref.:- Symbol - EDELWEISS


**Sub.: Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended December 31, 2016**


Pursuant to Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Shareholding Pattern of the Company for the quarter ended December 31, 2016 is enclosed.

You are requested to take the above on record.

Thanking you,

**For Edelweiss Financial Services Limited**

  
**B. Renganathan**  
Executive Vice President & Company Secretary

  
Encl : as above

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1	Name of Listed Entity: Edelweiss Financial Services Limited
2	Scrip Code/Name of Scrip/Class of Security: BSE - 532922, NSE - EDELWEISS, Edelweiss Financial Services Limited, Equity Shares
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending December 31, 2016
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

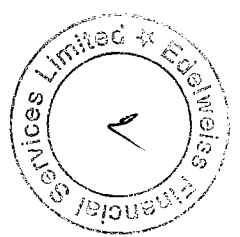


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**Table I - Summary Statement holding of specified securities**

Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of diluted securities (share capital)	Number of Locked in shares	Number of Shares otherwise encumbered	Number of equity shares held in dematerialised form
							Class e.g. Equity Shares	Class e.g. V	Total					
(i)	(ii)	(iii)	(iv)	(v)	(vi) = (iv)+(v)+(vi)	(viii) as a % of (A+B+C2)	(ix)			(x) = (viii)+(xi) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)	
Promoter & Promoter	10	30,73,85,690	-	-	30,73,85,690	36.9801%	30,73,85,690	-	-	36.9801%	-	-	-	30,73,85,690
Group Public	1,15,837	47,89,36,794	-	-	47,89,36,794	57.6186%	47,89,36,794	-	-	57.6186%	-	-	-	47,89,36,794
Non Promoter - Non Public	2	4,48,96,780	-	-	4,48,96,780	5.4013%	4,48,96,780	-	-	5.4013%	-	-	-	4,48,96,780
Shares Underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Trust	2	4,48,96,780	-	-	4,48,96,780	5.4013%	4,48,96,780	-	-	5.4013%	-	-	-	4,48,96,780
Total	1,15,849	83,12,19,264	-	-	83,12,19,264	100.0000%	83,12,19,264	-	-	100.0000%	-	-	12,76,83,000	15,36,09%

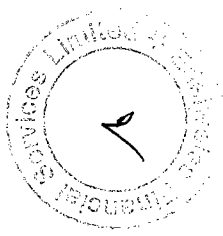






Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.  
 (Kindly start filing data from Row No - 3)

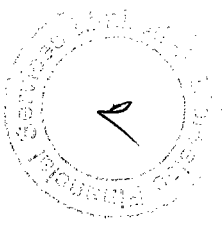
No. of shareholders	No of Shares held
0	0



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Table III - Statement showing shareholding pattern of the public shareholder

Class of Shareholders	Name of the Shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares outstanding from Deposits	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class of securities		Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
									Class eq: Equity Shares	Class eq: V						
(i)	1. Interlocutors															
(ii)	2. Mutual Funds															
(iii)	3. Venture Capital Funds															
(iv)	4. Alternative Investment Funds															
(v)	5. Foreign Venture Capital Investors															
(vi)	6. Foreign Institutional Investors															
(vii)	7. Foreign Portfolio Investors															
(viii)	8. First Call/ Venture Maturity Private Bank Ltd/ Zurich AG															
(ix)	9. First India IV FI Holdings Limited															
(x)	10. Fidelity Investment Trust Fidelity Series Emerging Markets Fund															
(xi)	11. Amanas Holdings Private Limited															
(xii)	12. Achoka PTE Ltd															
(xiii)	13. Financial Institutions / Banks															
(xiv)	14. Insurance Companies / Provident Funds/ Pension Funds															
(xv)	15. Any Other (Specify)															
(xvi)	16. Sub Total (B)(1)															
(xvii)	17. Government/ State Government(s)/ Presidents of India															
(xviii)	18. Sub Total (B)(2)															



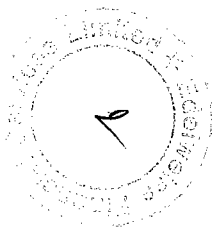




Details of the shareholders acting as persons in Concert including their Shareholding:  
(Kindly start filing data from Row No - 3)

Name of shareholder	Name of PAC	No. of shares	Holding %
BIH SA	PRIVATBANK IHAG ZURICH AG	19743180	2.38
		25752620	3.10

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Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting

(Kindly start filing data from Row No - 3)

No. of shareholders	No. of Shares
97	7770





**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category	Category & Name of shareholders	PAN	Nos. of shareholder identifiers	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held as a % of Total (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding / as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VIII)+(X) As a % of (A+B+C2)	Number of Locked in shares	Number of Locked in shares (as a % of total shares held)(b)	Number of pledged or otherwise	Number of Shares pledged or otherwise (as a % of total shares held)(b)	Number of equity shares held in dematerialised form	
									No of Voting Rights	Class eg: Equity Shares	Class eg: V Total								
1.	Custodian/DOR Holder																		
	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		2	4,48,96,780	-	-	4,48,96,780	5.4013%	4,48,96,780	-	-	5.4013%	-	-	-	-	-	-	4,48,96,780
2.	EDLWEISS EMPLOYEES WELFARE TRUST	AAA TE 6886		3,75,95,270	-	-	3,75,95,270	4.5229%	3,75,95,270	-	-	4.5229%	-	-	-	-	-	-	3,75,95,270
	Total Non-Promoter- Non Public Shareholding (C1-C2)		2	4,48,96,780	-	-	4,48,96,780	5.4013%	4,48,96,780	-	-	5.4013%	-	-	-	-	-	-	4,48,96,780

Note:  
 (1) PAN would not be displayed on website of Stock Exchange(s).  
 (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.  
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

